# HPy Release 0.0.4

**HPy Collective** 

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HPy provides a new API for extending Python in C.

The official Python/C API is specific to the current implementation of CPython: it exposes a lot of internal details which makes it hard:

- to implement it for other Python implementations (e.g. PyPy, GraalPython, Jython, IronPython, etc.)
- to experiment with new things inside CPython itself: e.g. using a GC instead of refcounting, or to remove the GIL.

There are several advantages to write your C extension in HPy:

- it runs much faster on PyPy, GraalPython, and at native speed on CPython
- it is possible to compile a single binary which runs unmodified on all supported Python implementations and versions
- it is simpler and more manageable than the Python/C API
- it provides an improved debugging experience: in "debug mode", HPy actively checks for many common mistakes such as reference leaks and invalid usage of objects after they have been deleted. It is possible to turn the "debug mode" on at startup time, without needing to recompile Python or the extension itself

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**CHAPTER** 

ONE

#### **HPY OVERVIEW**

## 1.1 Motivation and goals

The superpower of the Python ecosystem is its libraries, which are developed by users. Over time, these libraries have grown in number, quality, and applicability. While it is possible to write python libraries entirely in python, many of them, especially in the scientific community, are written in C and exposed to Python using the Python/C API. The existence of these C extensions using the Python/C API leads to some issues:

- 1. Usually, alternative implementation of the Python programming language want to support C extensions. To do so, they must implement the same Python/C API or provide a compatibility layer.
- 2. CPython developers cannot experiment with new designs or refactoring without breaking compatibility with existing extensions.

Over the years, it has become evident that emulating the Python/C API in an efficient way is challenging, if not impossible. To summarize, it is mainly due to leaking of implementation details of CPython into the C/API - which makes it difficult to make different design choices than those made by CPython. As such - the main goal of HPy is to provide a C API which makes as few assumptions as possible about the design decisions of any implementation of Python, allowing diverse implementations to support it efficiently and without compromise. In particular, reference counting is not part of the API: we want a more generic way of managing resources that is possible to implement with different strategies, including the existing reference counting and/or with a moving *Garbage Collector* (like the ones used by PyPy, GraalPython or Java, for example). Moreover, each implementation can experiment with new memory layout of objects, add optimizations, etc. The following is a list of sub-goals.

**Performance on CPython** HPy is usable on CPython from day 1 with no performance impact compared to the existing Python/C API.

**Incremental adoption** It is possible to port existing C extensions piece by piece and to use the old and the new API side-by-side during the transition.

**Easy migration** It should be easy to migrate existing C extensions to HPy. Thanks to an appropriate and regular naming convention it should be obvious what the HPy equivalent of any existing Python/C API is. When a perfect replacement does not exist, the documentation explains what the alternative options are.

**Better debugging** In debug mode, you get early and precise errors and warnings when you make some specific kind of mistakes and/or violate the API rules and assumptions. For example, you get an error if you try to use a handle (see *Handles*) which has already been closed. It is possible to turn on the debug mode at startup time, without needing to recompile.

**Simplicity** The HPy API aims to be smaller and easier to study/use/manage than the existing Python/C API. Sometimes there is a trade-off between this goal and the others above, in particular *Performance on CPython* and *Easy migration*. The general approach is to have an API which is "as simple as possible" while not violating the other goals.

**Universal binaries** It is possible to compile extensions to a single binary which is ABI-compatible across multiple Python versions and/or multiple implementation. See *Target ABIs*.

Opt-in low level data structures Internal details might still be available, but in a opt-in way: for example, if Cython wants to iterate over a list of integers, it can ask if the implementation provides a direct low-level access to the content (e.g. in the form of a int64\_t[] array) and use that. But at the same time, be ready to handle the generic fallback case.

#### 1.2 API vs ABI

HPy defines both an API and an ABI. Before digging further into details, let's distinguish them:

- The **API** works at the level of source code: it is the set of functions, macros, types and structs which developers can use to write their own extension modules. For C programs, the API is generally made available through one or more header files (\*.h).
- The **ABI** works at the level of compiled code: it is the interface between the host interpreter and the compiled DLL. Given a target CPU and operating system it defines things like the set of exported symbols, the precise memory layout of objects, the size of types, etc.

In general it is possible to compile the same source into multiple compiled libraries, each one targeting a different ABI. **PEP 3149** states that the filename of the compiled extension should contain the *ABI tag* to specify what the target ABI is. For example, if you compile an extension called simple.c on CPython 3.7, you get a DLL called simple.cpython-37m-x86 64-linux-qnu.so:

- cpython-37m is the ABI tag, in this case CPython 3.7
- x86\_64 is the CPU architecture
- linux-gnu is the operating system

The same source code compiled on PyPy3.6 7.2.0 results in a file called simple. pypy $3-72-x86\_64-linux-gnu.so$ :

• pypy3-72 is the ABI tag, in this case "PyPy3.x", version "7.2.x"

The HPy C API is exposed to the user by including hpy.h and it is explained in its own section of the documentation.

## 1.3 Target ABIs

Depending on the compilation options, an HPy extension can target three different ABIs:

- **CPython ABI** In this mode, HPy is implemented as a set of C macros and static inline functions which translate the HPy API into the CPython API at compile time. The result is a compiled extension which is indistinguishable from a "normal" one and can be distributed using all the standard tools and will run at the very same speed. The ABI tag is defined by the version of CPython which is used to compile it (e.g., cpython-37m).
- HPy Universal ABI As the name suggests, the HPy Universal ABI is designed to be loaded and executed by a variety of different Python implementations. Compiled extensions can be loaded unmodified on all the interpreters which support it. PyPy and GraalPython support it natively. CPython supports it by using the hpy.universal package, and there is a small speed penalty compared to the CPython ABI. The ABI tag has not been formally defined yet.
- **HPy Hybrid ABI** To allow an incremental transition to HPy, it is possible to use both HPy and Python/C API calls in the same extension. In this case, it is not possible to target the Universal ABI because the resulting compiled library also needs to be compatible with a specific CPython version. The ABI tag will be something like

<sup>&</sup>lt;sup>1</sup> The reason for this minor performance penalty is a layer of pointer indirection. For instance, ctx->HPyLong\_FromLong is called from the CPython extension, which in universal mode simply forwards the call to PyLong\_FromLong. It is technically possible to implement a CPython universal module loader which edits the program's executable code at runtime to replace that call. Note that this is not at all trivial.

hpy-1\_cpython-37m. Note: the tag is not implemented yet. Currently, the approach to use HPy in hybrid mode is to build the extension in HPy universal mode, which, for now, still allows mixing the HPy and CPython APIs. Extensions mixing the HPy and CPython APIs will not work on Pythons that do not support the hybrid ABI.

Moreover, each alternative Python implementation could decide to implement its own non-universal ABI if it makes sense for them. For example, a hypothetical project *DummyPython* could decide to ship its own hpy . h which implements the HPy API but generates a DLL which targets the DummyPython ABI.

This means that to compile an extension for CPython, you can choose whether to target the CPython ABI or the Universal ABI. The advantage of the former is that it runs at native speed, while the advantage of the latter is that you can distribute a single binary, although with a small speed penalty on CPython. Obviously, nothing stops you compiling and distributing both versions: this is very similar to what most projects are already doing, since they automatically compile and distribute extensions for many different CPython versions.

From the user point of view, extensions compiled for the CPython ABI can be distributed and installed as usual, while those compiled for the HPy Universal or HPy Hybrid ABIs require installing the hpy.universal package on CPython and have no further requirements on Pythons that support HPy natively.

## 1.4 Benefits for the Python ecosystem

The HPy project offers some benefits to the python ecosystem, both to Python users and to library developers.

- C extensions can achieve much better speed on alternative implementions, including PyPy and GraalPython: according to early *Early benchmarks*, an extension written in HPy can be ~3x faster than the equivalent extension written in Python/C.
- Improved debugging: when you load extensions in *Debug Mode*, many common mistakes are checked and reported automatically.
- Universal binaries: libraries can choose to distribute only Universal ABI binaries. By doing so, they can support all Python implementations and version of CPython (like PyPy, GraalPython, CPython 3.10, CPython 3.11, etc) for which an HPy loader exists, including those that do not yet exist! This currently comes with a small speed penalty on CPython, but for non-performance critical libraries it might still be a good tradeoff.
- Python environments: With general availability of universal ABI binaries for popular packages, users can create equivalent python environments that target different Python implementations. Thus, Python users can try their workload against different implementations and pick the one best suited for their usage.
- In a situation where most or all popular Python extensions target the universal ABI, it will be more feasible for CPython to make breaking changes to its C/API for performance or maintainability reasons.

## 1.5 Cython extensions

If you use Cython, you can't use HPy directly. There is a work in progress to add Cython backend which emits HPy code instead of Python/C code: once this is done, you will get the benefits of HPy automatically.

## 1.6 Extensions in other languages

On the API side, HPy is designed with C in mind, so it is not directly useful if you want to write an extension in a language other than C.

However, Python bindings for other languages could decide to target the *HPy Universal ABI* instead of the *CPython ABI*, and generate extensions which can be loaded seamlessly on all Python implementations which supports it. This is the route taken, for example, by Rust.

## 1.7 Benefits for alternative Python implementations

If you are writing an alternative Python implementation, there is a good chance that you already know how painful it is to support the Python/C API. HPy is designed to be both faster and easier to implement!

You have two choices:

- support the Universal ABI: in this case, you just need to export the needed functions and to add a hook to dlopen() the desired libraries
- use a custom ABI: in this case, you have to write your own replacement for hpy.h and recompile the C
  extensions with it.

## 1.8 Current status and roadmap

HPy is still in the early stages of development, but many big pieces are already in place. As on April 2022, the following milestones have been reached:

- some real-world Python packages have been ported to HPy API. The ports will be published soon.
- one can write extensions which expose module-level functions, with all the various kinds of calling conventions.
- there is support for argument parsing (i.e., the equivalents of *PyArg\_ParseTuple* and *PyArg\_ParseTupleAndKeywords*), and a convenient complex value building (i.e., the equivalent *Py\_BuildValue*).
- one can implement custom types, whose struct may contain references to other Python objects using HPyField.
- there is a support for globally accessible Python object handles: *HPyGlobal*, which can still provide isolation for subinterpreters if needed.
- there is support for raising and catching exceptions.
- debug mode has been implemented and can be activated at run-time without recompiling. It can detect leaked handles or handles used after being closed.
- wheels can be build for HPy extensions with *python setup.py bdist\_wheel* and can be installed with *pip install*.
- it is possible to choose between the *CPython ABI* and the *HPy Universal ABI* when compiling an extension module.
- extensions compiled with the CPython ABI work out of the box on CPython.
- it is possible to load HPy Universal extensions on CPython, thanks to the hpy universal package.
- it is possible to load HPy Universal extensions on PyPy (using the PyPy hpy branch).
- it is possible to load HPy Universal extensions on GraalPython.

However, there is still a long road before HPy is usable for the general public. In particular, the following features are on our roadmap but have not been implemented yet:

- many of the original Python/C functions have not been ported to HPy yet. Porting most of them is straightforward, so for now the priority is to test HPy with real-world Python packages and primarily resolve the "hard" features to prove that the HPy approach works.
- add C-level module state to complement the *HPyGlobal* approach. While *HPyGlobal* is easier to use, it will make the migration simpler for existing extensions that use CPython module state.
- the integration with Cython is work in progress
- it is not clear yet how to approach pybind11 and similar C++ bindings. They serve two use-cases:
  - As C++ wrappers for CPython API. HPy is fundamentally different in some ways, so fully compatible pybind11 port of this API to HPy does not make sense. There can be a similar or even partially pybind11 compatible C++ wrapper for HPy adhering to the HPy semantics and conventions (e.g., passing the HPy-Context pointer argument around, no reference stealing, etc.).
  - Way to expose (or "bind") mostly pure C++ functions as Python functions where the C++ templating machinery takes care of the conversion between the Python world, i.e., PyObject\*, and the C++ types. Porting this abstraction to HPy is possible and desired in the future. To determine the priority or such effort, we need to get more knowledge about existing pybind11 use-cases.

## 1.9 Early benchmarks

To validate our approach, we ported a simple yet performance critical module to HPy. We chose ultrajson because it is simple enough to require porting only a handful of API functions, but at the same time it is performance critical and performs many API calls during the parsing of a JSON file.

This blog post explains the results in more detail, but they can be summarized as follows:

- ujson-hpy compiled with the CPython ABI is as fast as the original ujson.
- A bit surprisingly, ujson-hpy compiled with the HPy Universal ABI is only 10% slower on CPython. We need more evidence than a single benchmark of course, but if the overhead of the HPy Universal ABI is only 10% on CPython, many projects may find it small enough that the benefits of distributing extensions using only the HPy Universal ABI out weight the performance costs.
- On PyPy, ujson-hpy runs 3x faster than the original ujson. Note the HPy implementation on PyPy is not fully optimized yet, so we expect even bigger speedups eventually.

# 1.10 Projects involved

HPy was born during EuroPython 2019, were a small group of people started to discuss the problems of the Python/C API and how it would be nice to have a way to fix them. Since then, it has gathered the attention and interest of people who are involved in many projects within the Python ecosystem. The following is a (probably incomplete) list of projects whose core developers are involved in HPy, in one way or the other. The mere presence in this list does not mean that the project as a whole endorse or recognize HPy in any way, just that some of the people involved contributed to the code/design/discussions of HPy:

- PyPy
- CPython
- Cython
- · GraalPython
- · RustPython

• rust-hpy (fork of the cpython crate)

## 1.11 Related work

A partial list of alternative implementations which offer a Python/C compatibility layer include:

- PyPy
- Jython
- IronPython
- GraalPython

**CHAPTER** 

**TWO** 

### HPY API INTRODUCTION

Warning: HPy is still in the early stages of development and the API may change.

#### 2.1 Handles

The "H" in HPy stands for **handle**, which is a central concept: handles are used to hold a C reference to Python objects, and they are represented by the C HPy type. They play the same role as PyObject \* in the Python/C API, albeit with some important differences which are detailed below.

When they are no longer needed, handles must be closed by calling HPy\_Close, which plays more or less the same role as Py\_DECREF. Similarly, if you need a new handle for an existing object, you can duplicate it by calling HPy\_Dup, which plays more or less the same role as Py\_INCREF.

The HPy API strictly follows these rules:

- HPy handles returned by a function are **never borrowed**, i.e., the caller must either close or return it.
- HPy handles passed as function arguments are **never stolen**; if you receive a HPy handle argument from your caller, you should never close it.

These rules makes the code simpler to reason about. Moreover, no reference borrowing enables the Python implementations to use whatever internal representation they wish. For example, the object returned by  $HPy\_GetItem\_i$  may be created on demand from some compact internal representation, which does not need to convert itself to full blown representation in order to hold onto the borrowed object.

We strongly encourage the users of HPy to also internally follow these rules for their own internal APIs and helper functions. For the sake of simplicity and easier local reasoning and also because in the future, code adhering to those rules may be suitable target for some scalable and precise static analysis tool.

The concept of handles is certainly not unique to HPy. Other examples include Unix file descriptors, where you have dup() and close(), and Windows' HANDLE, where you have DuplicateHandle() and CloseHandle().

### 2.1.1 Handles vs PyObject \*

In order to fully understand the way HPy handles work, it is useful to discuss the Python/C API Pyobject \* pointer. These pointers always point to the same object, and a python object's identity is completely given by its address in memory, and two pointers with the same address can be passed to Python/C API functions interchangeably. As a result, Py\_INCREF and Py\_DECREF can be called with any reference to an object as long as the total number of calls of *incref* is equal to the number of calls of *decref* at the end of the object lifetime.

Whereas using HPy API, each handle must be closed independently.

Thus, the following perfectly valid piece of Python/C code:

#### Becomes using HPy API:

```
void foo(HPyContext *ctx)
{
    HPy x = HPyLong_FromLong(ctx, 42);
    HPy y = HPy_Dup(ctx, x);
    /* ... */
    // we need to close x and y independently
    HPy_Close(ctx, x);
    HPy_Close(ctx, y);
}
```

Calling any HPy function on a closed handle is an error. Calling HPy\_Close() on the same handle twice is an error. Forgetting to call HPy\_Close() on a handle results in a memory leak. When running in *Debug Mode*, HPy actively checks that you don't close a handle twice and that you don't forget to close any.

**Note:** Debug mode is a good example of how powerful it is to decouple the identity and therefore the lifetime of handles and those of objects. If you find a memory leak on CPython, you know that you are missing a Py\_DECREF somewhere but the only way to find the corresponding Py\_INCREF is to manually and carefully study the source code. On the other hand, if you forget to call HPy\_Close(), debug mode is able to identify the precise code location which created the unclosed handle. Similarly, if you try to operate on a closed handle, it will identify the precise code locations which created and closed it. This is possible because handles are associated with a single call to a C/API function. As a result, given a handle that is leaked or used after freeing, it is possible to identify exactly the C/API function that producted it.

Remember that Python/C guarantees that multiple references to the same object results in the very same PyObject \* pointer. Thus, it is possible to compare the pointer addresses to check whether they refer to the same object:

```
int is_same_object(PyObject *x, PyObject *y)
{
    return x == y;
}
```

On the other hand, in HPy, each handle is independent and it is common to have two different handles which point to the same underlying object, so comparing two handles directly is ill-defined. To prevent this kind of common error (especially when porting existing code to HPy), the HPy C type is opaque and the C compiler actively forbids comparisons between them. To check for identity, you can use HPy\_Is():

```
int is_same_object(HPyContext *ctx, HPy x, HPy y)
{
    // return x == y; // compilation error!
    return HPy_Is(ctx, x, y);
}
```

**Note:** The main benefit of opaque handle semantics is that implementations are allowed to use very different models of memory management. On CPython, implementing handles is trivial because HPy is basically PyObject \* in disguise, and HPy\_Dup() and HPy\_Close() are just aliases for Py\_INCREF and Py\_DECREF.

Unlike CPython, PyPy does not use reference counting to manage memory: instead, it uses a *moving GC*, which means that the address of an object might change during its lifetime, and this makes it hard to implement semantics like PyObject \*'s where the address *identifies* the object, and this is directly exposed to the user. HPy solves this problem: on PyPy, handles are integers which represent indices into a list, which is itself managed by the GC. When an address changes, the GC edits the list, without having to touch all the handles which have been passed to C.

## 2.2 HPyContext

All HPy function calls take an HPyContext as a first argument, which represents the Python interpreter all the handles belong to. Strictly speaking, it would be possible to design the HPy API without using HPyContext: after all, all HPy function calls are ultimately mapped to Python/C function call, where there is no notion of context.

One of the reasons to include HPyContext from the day one is to be future-proof: it is conceivable to use it to hold the interpreter or the thread state in the future, in particular when there will be support for sub-interpreters. Another possible usage could be to embed different versions or implementations of Python inside the same process. In addition, the HPyContext may also be extended by adding new functions to the end without breaking any extensions built against the current HPyContext.

Moreover, HPyContext is used by the *HPy Universal ABI* to contain a sort of virtual function table which is used by the C extensions to call back into the Python interpreter.

## 2.3 A simple example

In this section, we will see how to write a simple C extension using HPy. It is assumed that you are already familiar with the existing Python/C API, so we will underline the similarities and the differences with it.

We want to create a function named myabs and double which takes a single argument and computes its absolute value:

```
#include "hpy.h"

HPyDef_METH(myabs, "myabs", HPyFunc_0)
static HPy myabs_impl(HPyContext *ctx, HPy self, HPy arg)
{
    return HPy_Absolute(ctx, arg);
}
```

There are a couple of points which are worth noting:

- We use the macro HPyDef\_METH to declare we are going to define a HPy function called myabs.
- The function will be available under the name "myabs" in our Python module.
- The actual C function which implements myabs is called myabs\_impl and is infered by the macro. The macro takes the name and adds \_impl to the end of it.
- It uses the HPyFunc\_O calling convention. Like METH\_O in Python/C API, HPyFunc\_O means that the function receives a single argument on top of self.

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- myabs\_impl takes two arguments of type HPy: handles for self and the argument, which are guaranteed to be valid. They are automatically closed by the caller, so there is no need to call HPy\_Close on them.
- myabs\_impl returns a handle, which has to be closed by the caller.
- HPy\_Absolute is the equivalent of PyNumber\_Absolute and computes the absolute value of the given argument.
- We also do not call HPy\_Close on the result returned to the caller. We must return a valid handle.

**Note:** Among other things, the HPyDef\_METH macro is needed to maintain compatibility with CPython. In CPython, C functions and methods have a C signature that is different to the one used by HPy: they don't receive an HPyContext and their arguments have the type PyObject \* instead of HPy. The macro automatically generates a trampoline function whose signature is appropriate for CPython and which calls the myabs\_impl. This trampoline is then used from both the CPython ABI and the CPython implementation of the universal ABI, but other implementations of the universal ABI will usually call directly the HPy function itself.

The second function definition is a bit different:

```
HPyDef_METH_IMPL(double_num, "double", double_impl, HPyFunc_O)
static HPy double_impl(HPyContext *ctx, HPy self, HPy arg)
{
    return HPy_Add(ctx, arg, arg);
}
```

This shows off the other way of creating functions.

- This example is much the same but the difference is that we use <code>HPyDef\_METH\_IMPL</code> to define a function named <code>double</code>.
- The difference between HPyDef\_METH\_IMPL and HPyDef\_METH is that the former needs to be given a name for a the functions as the third argument.

Now, we can define our module:

```
static HPyDef *SimpleMethods[] = {
          &myabs,
          &double_num,
          NULL,
};

static HPyModuleDef simple = {
          .name = "simple",
          .doc = "HPy Example",
          .size = -1,
          .defines = SimpleMethods,
          .legacy_methods = NULL
};
```

This part is very similar to the one you would write in Python/C. Note that we specify myabs (and **not** myabs\_impl) in the method table. There is also the .legacy\_methods field, which allows to add methods that use the Python/C API, i.e., the value should be an array of PyMethodDef. This feature enables support for hybrid extensions in which some of the methods are still written using the Python/C API.

Finally, HPyModuleDef is basically the same as the old PyModuleDef:

```
HPy_MODINIT(simple)
HPy init_simple_impl(HPyContext *ctx) {
```

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```
return HPyModule_Create(ctx, &simple);
}
```

## 2.3.1 Building the module

Let's write a setup.py to build our extension:

```
from setuptools import setup, Extension
from os import path

setup(
    name="hpy-simple-example",
    hpy_ext_modules=[
        Extension('simple', sources=[path.join(path.dirname(__file__), 'simple.c')]),
    ],
    setup_requires=['hpy'],
)
```

We can now build the extension by running python setup.py build\_ext -i. On CPython, it will target the *CPython ABI* by default, so you will end up with a file named e.g. simple. cpython-37m-x86\_64-linux-gnu.so which can be imported directly on CPython with no dependency on HPy.

To target the *HPy Universal ABI* instead, it is possible to pass the option --hpy-abi=universal to setup.py. The following command will produce a file called simple.hpy.so (note that you need to specify --hpy-abi before build\_ext, since it is a global option):

```
python setup.py --hpy-abi=universal build_ext -i
```

**Note:** This command will also produce a Python file named simple.py, which loads the HPy module using the universal.load function from the hpy Python package.

## 2.3.2 VARARGS calling convention

If we want to receive more than a single arguments, we need the <code>HPy\_METH\_VARARGS</code> calling convention. Let's add a function <code>add\_ints</code> which adds two integers:

```
HPyDef_METH(add_ints, "add_ints", HPyFunc_VARARGS)
static HPy add_ints_impl(HPyContext *ctx, HPy self, HPy *args, HPy_ssize_t nargs)
{
    long a, b;
    if (!HPyArg_Parse(ctx, NULL, args, nargs, "ll", &a, &b))
        return HPy_NULL;
    return HPyLong_FromLong(ctx, a+b);
}
```

There are a few things to note:

• The C signature is different than the corresponding Python/C METH\_VARARGS: in particular, instead of taking a PyObject \*args, we take an array of HPy and its size. This allows e.g. PyPy to do a call more efficiently, because you don't need to create a tuple just to pass the arguments.

- We call HPyArg\_Parse to parse the arguments. Contrarily to almost all the other HPy functions, this is **not** a thin wrapper around PyArg\_ParseTuple because as stated above we don't have a tuple to pass to it, although the idea is to mimic its behavior as closely as possible. The parsing logic is implemented from scratch inside HPy, and as such there might be missing functionality during the early stages of HPy development.
- If an error occurs, we return HPy\_NULL: we cannot simply return NULL because HPy is not a pointer type.

Once we have written our function, we can add it to the SimpleMethods[] table, which now becomes:

```
static HPyDef *SimpleMethods[] = {
    &myabs,
    &add_ints,
    NULL,
};
```

**CHAPTER** 

THREE

### **PORTING GUIDE**

## 3.1 Porting PyObject \* to HPy API constructs

While in CPython one always uses PyObject \* to reference to Python objects, in HPy there are several types of handles that should be used depending on the life-time of the handle: HPy, HPyField, and HPyGlobal.

- *HPy* represents short lived handles that live no longer than the duration of one call from Python to HPy extension function. Rule of thumb: use for local variables, arguments, and return values.
- *HPyField* represents handles that are Python object struct fields, i.e., live in native memory attached to some Python object.
- *HPyGlobal* represents handles stored in C global variables. *HPyGlobal* can provide isolation between subinterpreters.

**WARNING**: never use a local variable of type HPyField, for any reason! If the GC kicks in, it might become invalid and become a dangling pointer.

**WARNING**: never store *HPy* handles to a long-lived memory, for example: C global variables or Python object structs.

The HPy/HPyField dichotomy might seem arbitrary at first, but it is needed to allow Python implementations to use a moving GC, such as PyPy. It is easier to explain and understand the rules by thinking about how a moving GC interacts with the C code inside an HPy extension.

It is worth remembering that during the collection phase, a moving GC might move an existing object to another memory location, and in that case it needs to update all the places which store a pointer to it. In order to do so, it needs to *know* where the pointers are. If there is a local C variable which is unknown to the GC but contains a pointer to a GC-managed object, the variable will point to invalid memory as soon as the object is moved.

Back to HPy vs HPyField vs HPyGlobal:

- HPy handles must be used for all C local variables, function arguments and function return values. They are supposed to be short-lived and closed as soon as they are no longer needed. The debug mode will report a long-lived HPy as a potential memory leak.
- In PyPy and GraalPython, *HPy* handles are implemented using an indirection: they are indexes inside a big list of GC-managed objects: this big list is tracked by the GC, so when an object moves its pointer is correctly updated.
- HPyField is for long-lived references, and the GC must be aware of their location in memory. In PyPy, an HPyField is implemented as a direct pointer to the object, and thus we need a way to inform the GC where it is in memory, so that it can update its value upon moving: this job is done by tp\_traverse, as explained in the next section.
- HPyGlobal is for long-lived references that are supposed to be closed implicitly when the module is unloaded (once module unloading is actually implemented). HPyGlobal provides indirection to isolate subinterpreters.

Implementation wise, HPyGlobal will usually contain an index to a table with Python objects stored in the interpreter state.

- On CPython without subinterpreters support, HPy, HPyGlobal, and HPyField are implemented as PyObject \*.
- On CPython with subinterpreters support, HPyGlobal will be implemented by an indirection through the interpreter state. Note that thanks to the HPy design, switching between this and the more efficient implementation without subinterpreter support will not require rebuilding of the extension (in HPy universal mode), nor rebuilding of CPython.

**IMPORTANT**: if you write a custom type having HPyField s, you MUST also write a tp\_traverse slot. Note that this is different than the old Python/C API, where you need tp\_traverse only under certain conditions. See the next section for more details.

**IMPORTANT**: the contract of tp\_traverse is that it must visit all the HPyFields contained within given struct, or more precisely "owned" by given Python object (in the sense of the "owner" argument to HPyField\_Store), and nothing more, nothing less. Some Python implementations may choose to not call the provided tp\_traverse if they know how to visit all the HPyFields by other means (for example, when they track them internally already). The debug mode will check this contract.

#### 3.1.1 tp\_traverse, tp\_clear, Py\_TPFLAGS\_HAVE\_GC

Let's quote the Python/C documentation about GC support

Python's support for detecting and collecting garbage which involves circular references requires support from object types which are "containers" for other objects which may also be containers. Types which do not store references to other objects, or which only store references to atomic types (such as numbers or strings), do not need to provide any explicit support for garbage collection.

A good rule of thumb is that if your type contains PyObject \* fields, you need to:

- 1. provide a tp\_traverse slot;
- provide a tp\_clear slot;
- 3. add the Py\_TPFLAGS\_GC to the tp\_flags.

However, if you know that your PyObject \* fields will contain only "atomic" types, you can avoid these steps.

In HPy the rules are slightly different:

- if you have a field of type HPyField, you always MUST provide a tp\_traverse. This is needed so that a
  moving GC can track the relevant areas of memory. However, you MUST NOT rely on tp\_traverse to be
  called;
- 2. tp\_clear does not exist. On CPython, HPy automatically generates one for you, by using tp\_traverse to know which are the fields to clear. Other implementations are free to ignore it, if it's not needed;
- 3. HPy\_TPFLAGS\_GC is still needed, especially on CPython. If you don't specify it, your type will not be tracked by CPython's GC and thus it might cause memory leaks if it's part of a reference cycle. However, other implementations are free to ignore the flag and track the objects anyway, if their GC implementation allows it.

#### 3.1.2 tp\_dealloc and Py\_DECREF

Generally speaking, if you have one or more PyObject \* fields in the old Python/C, you must provide a tp\_dealloc slot where you Py\_DECREF all of them. In HPy this is not needed and will be handled automatically by the system.

In particular, when running on top of CPython, HPy will automatically provide a tp\_dealloc which decrefs all the fields listed by tp\_traverse.

## 3.2 PyModule\_AddObject

PyModule\_AddObject() is replaced with a regular HPy\_SetAttr\_s(). There is no HPyModule\_AddObject() because it has an unusual refcount behaviour (stealing a reference but only when it returns 0).

## 3.3 Py\_tp\_dealloc

Py\_tp\_dealloc becomes HPy\_tp\_destroy. We changed the name a little bit because only "lightweight" destructors are supported. Use tp\_finalize if you really need to do things with the context or with the handle of the object.

## 3.4 Py\_tp\_methods, Py\_tp\_members and Py\_tp\_getset

Py\_tp\_methods, Py\_tp\_members and Py\_tp\_getset are no longer needed. Methods, members and getsets are specified "flatly" together with the other slots, using the standard mechanism of HPyDef\_{METH, MEMBER, GETSET} and HPyType\_Spec.defines.

## 3.5 PyList\_New/PyList\_SET\_ITEM

PyList\_New(5)/PyList\_SET\_ITEM() becomes:

```
HPyListBuilder builder = HPyListBuilder_New(ctx, 5);
HPyListBuilder_Set(ctx, builder, 0, h_item0);
...
HPyListBuilder_Append(ctx, builder, h_item5);
...
HPy h_list = HPyListBuilder_Build(ctx, builder);
```

#### For lists of (say) integers:

```
HPyListBuilder_i builder = HPyListBuilder_i_New(ctx, 5);
HPyListBuilder_i_Set(ctx, builder, 0, 42);
...
HPy h_list = HPyListBuilder_i_Build(ctx, builder);
```

And similar for building tuples or bytes

# 3.6 PyObject\_Call and PyObject\_CallObject

Both PyObject\_Call and PyObject\_CallObject are replaced by HPy\_CallTupleDict(callable, args, kwargs) in which either or both of args and kwargs may be null handles.

PyObject\_Call(callable, args, kwargs) becomes:

```
HPy result = HPy_CallTupleDict(ctx, callable, args, kwargs);
```

PyObject\_CallObject(callable, args) becomes:

```
HPy result = HPy_CallTupleDict(ctx, callable, args, HPy_NULL);
```

If args is not a handle to a tuple or kwargs is not a handle to a dictionary, HPy\_CallTupleDict will return HPy\_NULL and raise a TypeError. This is different to PyObject\_Call and PyObject\_CallObject which may segfault instead.

## 3.7 Buffers

The buffer API in HPy is implemented using the HPy\_buffer struct, which looks very similar to Py\_buffer (refer to the CPython documentation for the meaning of the fields):

```
typedef struct {
    void *buf;
    HPy obj;
    HPy_ssize_t len;
    HPy_ssize_t itemsize;
    int readonly;
    int ndim;
    char *format;
    HPy_ssize_t *shape;
    HPy_ssize_t *strides;
    HPy_ssize_t *suboffsets;
    void *internal;
} HPy_buffer;
```

Buffer slots for HPy types are specified using slots HPy\_bf\_getbuffer and HPy\_bf\_releasebuffer on all supported Python versions, even though the matching PyType\_Spec slots, Py\_bf\_getbuffer and Py\_bf\_releasebuffer, are only available starting from CPython 3.9.

#### PORTING EXAMPLE

HPy supports *incrementally* porting an existing C extension from the original Python C API to the HPy API and to have the extension compile and run at each step along the way.

Here we walk through porting a small C extension that implements a Point type with some simple methods (a norm and a dot product). The Point type is minimal, but does contain additional C attributes (the x and y values of the point) and an attribute (obj) that contains a Python object (that we will need to convert from a PyObject  $\star$  to an HPyField).

There is a separate C file illustrating each step of the incremental port:

- steps/step\_00\_c\_api: The original C API version that we are going to port.
- steps/step\_01\_hpy\_legacy: A possible first step where all methods still receive PyObject \* arguments and may still cast them to PyPointObject \* if they are instances of Point.
- steps/step\_02\_hpy\_legacy: Shows how to transition some methods to HPy methods that receive HPy handles as arguments while still supporting legacy methods that receive PyObject \* arguments.
- steps/step\_03\_hpy\_final: The completed port to HPy where all methods receive HPy handles and PyObject\_HEAD has been removed.

Take a moment to read through steps/step\_00\_c\_api. Then, once you're ready, keep reading.

Each section below corresponds to one of the three porting steps above:

- Step 01: Converting the module to a (legacy) HPy module
- Step 02: Transition some methods to HPy
- Step 03: Complete the port to HPy

**Note:** The steps used here are one approach to porting a module. The specific steps are not required. They're just an example approach.

## 4.1 Step 01: Converting the module to a (legacy) HPy module

First for the easy bit – let's include hpy.h:

```
#include <hpy.h>
```

We'd like to differentiate between references to PyPointObject that have been ported to HPy and those that haven't, so let's rename it to PointObject and alias PyPointObject to PointObject. We'll keep PyPointObject for the instances that haven't been ported yet (the legacy ones) and use PointObject where we have ported the references:

```
typedef struct {
    // PyObject_HEAD is required while legacy_slots are still used
    // but can (and should) be removed once the port to HPy is completed.
PyObject_HEAD
double x;
double y;
PyObject *obj;
PointObject;
```

```
typedef PointObject PyPointObject;
```

For this step, all references will be to PyPointObject – we'll only start porting references in the next step.

Let's also call HPyType\_LEGACY\_HELPERS to define some helper functions for use with the PointObject struct:

```
HPyType_LEGACY_HELPERS(PointObject)
```

Again, we won't use these helpers in this step – we're just setting things up for later.

Now for the big steps.

We need to replace PyType\_Spec for the Point type with the equivalent HPyType\_Spec:

```
// HPy type methods and slots (no methods or slots have been ported yet)
   static HPyDef *point_defines[] = {
132
        NULL
133
   };
134
135
   static HPyType_Spec Point_Type_spec = {
136
        .name = "point_hpy_legacy_1.Point",
137
        .basicsize = sizeof(PointObject),
138
        .itemsize = 0,
139
        .flags = HPy_TPFLAGS_DEFAULT,
140
        .builtin_shape = SHAPE(PointObject),
141
        .legacy_slots = Point_legacy_slots,
142
143
        .defines = point_defines,
   } ;
```

Initially the list of ported methods in point\_defines is empty and all of the methods are still in Point\_slots which we have renamed to Point\_legacy\_slots for clarity.

SHAPE (PointObject) is a macro that retrieves the shape of PointObject as it was defined by the HPyType\_LEGACY\_HELPERS macro and will be set to HPyType\_BuiltinShape\_Legacy until we replace the legacy macro with the HPyType\_HELPERS one. Any type with legacy\_slots or that still includes PyObject\_HEAD in its struct should have .builtin\_shape set to HPyType\_BuiltinShape\_Legacy.

Similarly we replace PyModuleDef with HPyModuleDef:

```
// Legacy module methods (the "dot" method is still a PyCFunction)
146
    static PyMethodDef PointModuleLegacyMethods[] = {
147
        {"dot", (PyCFunction)dot, METH_VARARGS, "Dot product."},
148
        {NULL, NULL, 0, NULL}
149
    };
150
151
    // HPy module methods (no methods have been ported yet)
152
    static HPyDef *module_defines[] = {
153
        NULL
154
    };
155
156
    static HPyModuleDef moduledef = {
157
        .name = "step_01_hpy_legacy",
158
        .doc = "Point module (Step 1; All legacy methods)",
159
        .size = -1,
160
        .legacy_methods = PointModuleLegacyMethods,
161
        .defines = module_defines,
162
   };
```

Like the type, the list of ported methods in module\_defines is initially empty and all the methods are still in PointModuleMethods which has been renamed to PointModuleLegacyMethods.

Now all that is left is to replace the module initialization function with one that uses HPy\_MODINIT:

```
HPy_MODINIT(step_01_hpy_legacy)
    static HPy init_step_01_hpy_legacy_impl(HPyContext *ctx)
166
167
        HPy m = HPyModule_Create(ctx, &moduledef);
168
        if (HPy_IsNull(m))
            return HPy_NULL;
170
171
        HPy point_type = HPyType_FromSpec(ctx, &Point_Type_spec, NULL);
172
        if (HPy_IsNull(point_type))
173
          return HPv NULL;
174
        HPy_SetAttr_s(ctx, m, "Point", point_type);
175
176
177
        return m:
```

#### And we're done!

Note that the initialization function now takes an HPyContext \* as an argument and that this ctx is passed as the first argument to calls to HPy API methods.

PyModule\_Create is replaced with HPyModule\_Create and PyType\_FromSpec is replaced by HPyType\_FromSpec.

HPy\_SetAttr\_s is used to add the Point class to the module. HPy requires no special PyModule\_AddObject method.

## 4.2 Step 02: Transition some methods to HPy

In the previous step we put in place the type and module definitions required to create an HPy extension module. In this step we will port some individual methods.

Let us start by migrating Point\_traverse. First we need to change PyObject \*obj in the PointObject struct to HPyField obj:

```
typedef struct {
16
       // PyObject_HEAD is required while legacy methods still access
17
       // PointObject and should be removed once the port to HPy is completed.
18
       PvObject HEAD
19
       double x;
20
       double y;
21
       // HPy handles are shortlived to support all GC strategies
22
       // For that reason, PyObject* in C structs are replaced by HPyField
23
       HPyField obj;
   } PointObject;
```

HPy handles can only be short-lived – i.e. local variables, arguments to functions or return values. HPyField is the way to store long-lived references to Python objects. For more information, please refer to the documentation of HPyField.

Now we can update Point\_traverse:

```
HPyDef_SLOT(Point_traverse, HPy_tp_traverse)
int Point_traverse_impl(void *self, HPyFunc_visitproc visit, void *arg)

HPy_VISIT(&((PointObject*)self)->obj);
return 0;
}
```

In the first line we used the HPyDef\_SLOT macro to define a small structure that describes the slot being implemented. The first argument, Point\_traverse, is the name to assign the structure to. By convention, the HPyDef\_SLOT macro expects a function called Point\_traverse\_impl implementing the slot. The second argument, HPy\_tp\_traverse, specifies the kind of slot.

This is a change from how slots are defined in the old C API. In the old API, the kind of slot is only specified much lower down in Point\_legacy\_slots. In HPy the implementation and kind are defined in one place using a syntax reminiscent of Python decorators.

The implementation of traverse is now a bit simpler than in the old C API. We no longer need to visit Py\_TYPE (self) and need only HPy\_VISIT self->obj. HPy ensures that interpreter knows that the type of the instance is still referenced.

Only struct members of type HPyField can be visited with HPy\_VISIT, which is why we needed to convert obj to an HPyField before we implemented the HPy traverse.

Next we must update Point\_init to store the value of obj as an HPyField:

```
HPyDef_SLOT(Point_init, HPy_tp_init)

int Point_init_impl(HPyContext *ctx, HPy self, HPy *args, HPy_ssize_t nargs, HPy kw)

static const char *kwlist[] = {"x", "y", "obj", NULL};

PointObject *p = PointObject_AsStruct(ctx, self);

p->x = 0.0;
p->y = 0.0;
HPy obj = HPy_NULL;
```

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```
HPyTracker ht;
56
       if (!HPyArg_ParseKeywords(ctx, &ht, args, nargs, kw, "|dd0", kwlist,
57
                                   &p->x, &p->y, &obj))
58
           return -1;
       if (HPy_IsNull(obj))
           obj = ctx->h_None;
61
          INCREF not needed because HPyArq_ParseKeywords does not steal a reference
62
       HPyField_Store(ctx, self, &p->obj, obj);
63
       HPyTracker_Close(ctx, ht);
       return 0;
65
```

There are a few new HPy constructs used here:

- The kind of the slot passed to HPyDef\_SLOT is HPy\_tp\_init.
- PointObject\_AsStruct is defined by HPyType\_LEGACY\_HELPERS and returns an instance of the PointObject struct. Because we still include PyObject\_HEAD at the start of the struct this is still a valid PyObject \* but once we finish the port the struct will no longer contain PyObject\_HEAD and this will just be an ordinary C struct with no memory overhead!
- We use HPyTracker when parsing the arguments with HPyArg\_ParseKeywords. The HPyTracker keeps track of open handles so that they can be closed easily at the end with HPyTracker\_Close.
- HPyArg\_ParseKeywords is the equivalent of PyArg\_ParseTupleAndKeywords. Note that the HPy version does not steal a reference like the Python version.
- HPyField\_Store is used to store a reference to obj in the struct. The arguments are the context (ctx), a handle to the object that owns the reference (self), the address of the HPyField (&p->obj), and the handle to the object (obj).

**Note:** An HPyTracker is not strictly needed for HPyArg\_ParseKeywords in Point\_init. The arguments x and y are C floats (so there are no handles to close) and the handle stored in obj was passed in to the Point\_init as an argument and so should not be closed.

We showed the tracker here to demonstrate its use. You can read more about argument parsing in the API docs.

If a tracker is needed and one is not provided, HPyArg\_ParseKeywords will return an error.

The last update we need to make for the change to HPyField is to migrate Point\_obj\_get which retrieves obj from the stored HPyField:

```
HPyDef_GET(Point_obj, "obj", .doc="Associated object.")
HPy Point_obj_get(HPyContext *ctx, HPy self, void* closure)

PointObject *p = PointObject_AsStruct(ctx, self);

return HPyField_Load(ctx, self, p->obj);
}
```

Above we have used PointObject\_AsStruct again, and then HPyField\_Load to retrieve the value of obj from the HPyField.

We've now finished all of the changes needed by introducing HPyField. We could stop here, but let's migrate one ordinary method, Point\_norm, to end off this stage of the port:

```
HPyDef_METH(Point_norm, "norm", HPyFunc_NOARGS, .doc="Distance from origin.")
HPy Point_norm_impl(HPyContext *ctx, HPy self)
```

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To define a method we use <code>HPyDef\_METH</code> instead of <code>HPyDef\_SLOT</code>. <code>HPyDef\_METH</code> creates a small structure defining the method. The first argument is the name to assign to the structure (<code>Point\_norm</code>). The second is the Python name of the method (<code>norm</code>). The third specifies the method signature (<code>HPyFunc\_NOARGS - i.e.</code> no additional arguments in this case). The last provides the docstring. The macro then expects a function named <code>Point\_norm\_impl</code> implementing the method.

The rest of the implementation remains similar, except that we use HPyFloat\_FromDouble to create a handle to a Python float containing the result (i.e. the distance of the point from the origin).

Now we are done and just have to remove the old implementations from Point\_legacy\_slots and add them to point\_defines:

```
static HPyDef *point_defines[] = {
    &Point_init,
    &Point_norm,
    &Point_obj,
    &Point_traverse,
    NULL
125 };
```

## 4.3 Step 03: Complete the port to HPy

In this step we'll complete the port. We'll no longer include Python, remove PyObject\_HEAD from the PointObject struct, and port the remaining methods.

First, let's remove the import of Python.h:

```
2 // #include <Python.h> // disallow use of the old C API
```

And PyObject\_HEAD from the struct:

```
typedef struct {
15
       // PyObject_HEAD is no longer available in PointObject. In CPython,
16
17
       // of course, it still exists but is inaccessible from HPy_AsStruct. In
18
       // other Python implementations (e.g. PyPy) it might no longer exist at
       // all.
19
       double x;
20
       double y;
21
       HPyField obj;
22
   } PointObject;
```

And the typedef of PointObject to PyPointObject:

```
29 // typedef PointObject PyPointObject;
```

Now any code that has not been ported should result in a compilation error.

We must also change the type helpers from HPyType\_LEGACY\_HELPERS to HPyType\_HELPERS so that PointObject\_AsStruct knows that PyObject\_HEAD has been removed:

```
HPyType_HELPERS(PointObject)
```

There is one more method to port, the dot method which is a module method that implements the dot product between two points:

```
84
   HPyDef_METH(dot, "dot", HPyFunc_VARARGS, .doc="Dot product.")
   HPy dot_impl(HPyContext *ctx, HPy self, HPy *args, HPy_ssize_t nargs)
85
       HPy point1, point2;
87
       if (!HPyArg_Parse(ctx, NULL, args, nargs, "OO", &point1, &point2))
88
           return HPy_NULL;
89
       PointObject *p1 = PointObject_AsStruct(ctx, point1);
90
       PointObject *p2 = PointObject_AsStruct(ctx, point2);
91
       double dp;
       dp = p1->x * p2->x + p1->y * p2->y;
       return HPyFloat_FromDouble(ctx, dp);
```

The changes are similar to those used in porting the norm method, except:

- We use HPyArq Parse instead of HPyArq ParseKeywords.
- We opted not to use an HPyTracker by passing NULL as the pointer to the tracker when calling HPyArg\_Parse. There is no reason not to use a tracker here, but the handles to the two points are passed in as arguments to dot\_impl and thus there is no need to close them (and they should not be closed).

We use PointObject\_AsStruct and HPyFloat\_FromDouble as before.

Now that we have ported everything we can remove PointMethods, Point\_legacy\_slots and PointModuleLegacyMethods. The resulting type definition is much cleaner:

```
static HPyDef *point_defines[] = {
111
        &Point_init,
112
        &Point_norm,
113
        &Point_obj,
114
        &Point_traverse,
115
        NULL
116
    };
117
118
    static HPyType_Spec Point_Type_spec = {
119
         .name = "point_hpy_final.Point",
120
         .doc = "Point (Step 03)",
121
         .basicsize = sizeof(PointObject),
122
         .itemsize = 0,
123
         .flags = HPy_TPFLAGS_DEFAULT,
124
         .defines = point_defines
125
    };
126
```

and the module definition is simpler too:

```
static HPyDef *module_defines[] = {
    &dot,
    NULL
};

static HPyModuleDef moduledef = {
    .name = "step_03_hpy_final",
    .doc = "Point module (Step 3; Porting complete)",
```

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```
.size = -1,
.size = module_defines,
.size = -1,
.defines = module_defines,
.size = -1,
.size = -1
```

Now that the port is complete, when we compile our extension in HPy universal mode, we obtain a built extension that depends only on the HPy ABI and not on the CPython ABI at all!

**CHAPTER** 

**FIVE** 

#### **DEBUG MODE**

HPy includes a debug mode which includes useful run-time checks to ensure that C extensions use the API correctly. Its features include:

- 1. No special compilation flags are required: it is enough to compile the extension with the Universal ABI.
- 2. Debug mode can be activated at *import time*, and it can be activated per-extension.
- 3. You pay the overhead of debug mode only if you use it. Extensions loaded without the debug mode run at full speed.

This is possible because the whole of the HPy API is provided as part of the HPy context, so debug mode can pass in a special debugging context without affecting the performance of the regular context at all.

The debugging context can already check for:

- · Leaked handles.
- · Handles used after they are closed.
- Reading from a memory which is no longer guaranteed to be still valid, for example, the buffer returned by HPyUnicode\_AsUTF8AndSize after the corresponding HPy handle was closed.
- Writing to memory which should be read-only, for example the buffer returned by HPyUnicode\_AsUTF8AndSize.

## 5.1 Activating Debug Mode

Debug mode works only for extensions built with HPy universal ABI.

To enable debug mode, use environment variable HPY\_DEBUG. If HPY\_DEBUG=1, then all HPy modules are loaded with the debug context. Alternatively HPY\_DEBUG can be set to a comma separated list of names of the modules that should be loaded in debug mode.

In order to verify that your extension is being loaded in debug mode, use environment variable HPY\_LOG. If this variable is set, then all HPy extensions built in universal ABI mode print a message when loaded, such as:

```
> import snippets
Loading 'snippets' in HPy universal mode with a debug context
```

If the extension is built in CPython ABI mode, then the HPY\_LOG environment variable has no effect.

An HPy extension module may be also explicitly loaded in debug mode using:

```
mod = hpy.universal.load(module_name, so_filename, debug=True)
```

When loading HPy extensions explicitly, environment variables HPY\_LOG and HPY\_DEBUG have no effect for that extension.

## 5.2 Using Debug Mode

HPy debug module uses the LeakDetector class to detect leaked HPy handles. Example usage of LeakDetector:

```
def test_leak_detector():
    from hpy.debug.pytest import LeakDetector
    with LeakDetector() as ld:
        # add_ints is an HPy C function. If it forgets to close a handle,
        # LeakDetector will complain
        assert mixed.add_ints(40, 2) == 42
```

Additionally, the debug module also provides a pytest fixture, hpy\_debug, that for the time being, enables the LeakDetector. In the future, it may also enable other useful debugging facilities.

```
from hpy.debug.pytest import hpy_debug
def test_that_uses_leak_detector_fixture(hpy_debug):
    # Run some HPy extension code
```

ATTENTION: The usage of LeakDetector or hpy\_debug by itself does not enable HPy debug mode! If debug mode is not enabled for any extension, then those features have no effect.

When dealing with handle leaks, it is useful to get a stack trace of the allocation of the leaked handle. This feature has large memory requirements and is therefore opt-in. It can be activated by:

```
hpy.debug.set_handle_stack_trace_limit(16)
```

and disabled by:

```
hpy.debug.disable_handle_stack_traces()
```

## 5.3 Example

Following HPy function leaks a handle:

```
HPyDef_METH(test_leak_stacktrace, "test_leak_stacktrace", HPyFunc_NOARGS)
static HPy test_leak_stacktrace_impl(HPyContext *ctx, HPy self)
{
    HPy num = HPyLong_FromLong(ctx, 42);
    if (HPy_IsNull(num)) {
        return HPy_NULL;
    }
    // No HPy_Close(ctx, num);
    return HPy_Dup(ctx, ctx->h_None);
}
```

When this script is executed in debug mode:

```
# Run with HPY_DEBUG=1
import hpy.debug
import snippets

hpy.debug.set_handle_stack_trace_limit(16)
from hpy.debug.pytest import LeakDetector
with LeakDetector() as ld:
    snippets.test_leak_stacktrace()
```

#### The output is:

```
Traceback (most recent call last):
  File "/path/to/hpy/docs/examples/debug-example.py", line 7, in <module>
    snippets.test_leak_stacktrace()
  File "/path/to/hpy/debug/leakdetector.py", line 43, in __exit__
    self.stop()
  File "/path/to/hpy/debug/leakdetector.py", line 36, in stop
    raise HPyLeakError(leaks)
hpy.debug.leakdetector.HPyLeakError: 1 unclosed handle:
    <DebugHandle 0x556bbcf907c0 for 42>
Allocation stacktrace:
/path/to/site-packages/hpy-0.0.4.dev227+gd7eeec6.d20220510-py3.8-linux-x86_64.egg/hpy/
→universal.cpython-38d-x86_64-linux-gnu.so(debug_ctx_Long_FromLong+0x45)_
\hookrightarrow [0x7f1d928c48c4]
/path/to/site-packages/hpy_snippets-0.0.0-py3.8-linux-x86_64.egg/snippets.hpy.
\rightarrowso(+0x122c) [0x7f1d921a622c]
/path/to/site-packages/hpy_snippets-0.0.0-py3.8-linux-x86_64.egg/snippets.hpy.
\rightarrowso(+0x14b1) [0x7f1d921a64b1]
/path/to/site-packages/hpy-0.0.4.dev227+gd7eeec6.d20220510-py3.8-linux-x86_64.egg/hpy/
→universal.cpython-38d-x86_64-linux-gnu.so(debug_ctx_
→CallRealFunctionFromTrampoline+0xca) [0x7f1d928bde1e]
/path/to/site-packages/hpy_snippets-0.0.0-py3.8-linux-x86_64.egg/snippets.hpy.
\rightarrowso(+0x129b) [0x7f1d921a629b]
/path/to/site-packages/hpy_snippets-0.0.0-py3.8-linux-x86_64.egg/snippets.hpy.
\rightarrowso(+0x1472) [0x7f1d921a6472]
/path/to/libpython3.8d.so.1.0(+0x10a022) [0x7f1d93807022]
/path/to/libpython3.8d.so.1.0(+0x1e986b) [0x7f1d938e686b]
/path/to/libpython3.8d.so.1.0(+0x2015e9) [0x7f1d938fe5e9]
/path/to/libpython3.8d.so.1.0(_PyEval_EvalFrameDefault+0x1008c) [0x7f1d938f875a]
/path/to/libpython3.8d.so.1.0(PyEval_EvalFrameEx+0x64) [0x7f1d938e86b8]
/path/to/libpython3.8d.so.1.0(_PyEval_EvalCodeWithName+0xfaa) [0x7f1d938fc8af]
/path/to/libpython3.8d.so.1.0(PyEval_EvalCodeEx+0x86) [0x7f1d938fca25]
/path/to/libpython3.8d.so.1.0(PyEval_EvalCode+0x4b) [0x7f1d938e862b]
```

For the time being, HPy uses the glibc backtrace and backtrace\_symbols functions. Therefore all their caveats and limitations apply. Usual recommendations to get more symbols in the traces and not only addresses, such as snippets.hpy.so(+0x122c), are:

- link your native code with -rdynamic flag (LDFLAGS="-rdynamic")
- build your code without optimizations and with debug symbols (CFLAGS="-00 -q")
- use addr2line command line utility, e.g.: addr2line -e /path/to/snippets.hpy.so -C -f +0x122c

5.3. Example 29

#### **API REFERENCE**

**WARNING**: Generated API reference documentation is work in progress. Some parts of the API are not included in this documentation yet.

## 6.1 HPyField

HPy public API

HPy HPyField\_Load (HPyContext \*ctx, HPy source\_object, HPyField source\_field)

void HPyField\_Store (HPyContext \*ctx, HPy target\_object, HPyField \*target\_field, HPy h)

HPyFields should be used ONLY in parts of memory which is known to the GC, e.g. memory allocated by HPy\_New:

- NEVER declare a local variable of type HPyField
- NEVER use HPyField on a struct allocated by e.g. malloc()

**CPython's note**: contrary to PyObject\*, you don't need to manually manage refcounting when using HPyField: if you use HPyField\_Store to overwrite an existing value, the old object will be automatically decrefed. This means that you CANNOT use HPyField\_Store to write memory which contains uninitialized values, because it would try to decref a dangling pointer.

Note that HPy\_New automatically zeroes the memory it allocates, so everything works well out of the box. In case you are using manually allocated memory, you should initialize the HPyField to HPyField\_NULL.

Note the difference:

- obj->f = HPyField\_NULL: this should be used only to initialize uninitialized memory. If you use it to overwrite a valid HPyField, you will cause a memory leak (at least on CPython)
- HPyField\_Store(ctx, &obj->f, HPy\_NULL): this does the right thing and decref the old value. However, you CANNOT use it if the memory is not initialized.

Note: target\_object and source\_object are there in case an implementation needs to add write and/or read barriers on the objects. They are ignored by CPython but e.g. PyPy needs a write barrier.

## 6.2 HPyGlobal

HPy public API

HPy HPyGlobal\_Load (HPyContext \*ctx, HPyGlobal global)

void HPyGlobal\_Store (HPyContext \*ctx, HPyGlobal \*global, HPy h)

HPyGlobal is an alternative to module state. HPyGlobal must be a statically allocated C global variable registered in HPyModuleDef.globals array. A HPyGlobal can be used only after the HPy module where it is registered was created using HPyModule\_Create.

HPyGlobal serves as an identifier of a Python object that should be globally available per one Python interpreter. Python objects referenced by HPyGlobals are destroyed automatically on the interpreter exit (not necessarily the process exit).

HPyGlobal instance does not allow anything else but loading and storing a HPy handle using a HPyContext. Even if the HPyGlobal C variable may be shared between threads or different interpreter instances within one process, the API to load and store a handle from HPyGlobal is thread-safe (but like any other HPy API must not be called in HPy\_LeavePythonExecution blocks).

Given that a handle to object X1 is stored to HPyGlobal using HPyContext of Python interpreter I1, then loading a handle from the same HPyGlobal using HPyContext of Python interpreter I1 should give a handle to the same object X1. Another Python interpreter I2 running within the same process and using the same HPyGlobal variable will not be able to load X1 from it, it will have its own view on what is stored in the given HPyGlobal.

Python interpreters may use indirection to isolate different interpreter instances, but alternative techniques such as copy-on-write or immortal objects can be used to avoid that indirection (even selectively on per object basis using tagged pointers).

CPython HPy implementation may even provide configuration option that switches between a faster version that directly stores PyObject\* to HPyGlobal but does not support subinterpreters, or a version that supports subinterpreters. For now, CPython HPy always stores PyObject\* directly to HPyGlobal.

While the standard implementation does not fully enforce the documented contract, the HPy debug mode will enforce it (not implemented yet).

Implementation notes: All Python interpreters running in one process must be compatible, because they will share all HPyGlobal C level variables. The internal data stored in HPyGlobal are specific for each HPy implementation, each implementation is also responsible for handling thread-safety when initializing the internal data in HPyModule\_Create. Note that HPyModule\_Create may be called concurrently depending on the semantics of the Python implementation (GIL vs no GIL) and also depending on the whether there may be multiple instances of given Python interpreter running within the same process. In the future, HPy ABI may include a contract that internal data of each HPyGlobal must be initialized to its address using atomic write and HPy implementations will not be free to choose what to store in HPyGlobal, however, this will allow multiple different HPy implementations within one process. This contract may also be activated only by some runtime option, letting the HPy implementation use more optimized HPyGlobal implementation otherwise.

## 6.3 Leave/enter Python execution (GIL)

HPy public API

HPyThreadState **HPy\_LeavePythonExecution** (HPyContext \**ctx*)

void HPy\_ReenterPythonExecution (HPyContext \*ctx, HPyThreadState state)

Leaving Python execution: for releasing GIL and other use-cases.

In most situations, users should prefer using convenience macros: HPy\_BEGIN\_LEAVE\_PYTHON(context)/HPy\_END\_LEAVE\_PYTHON(context)

HPy extensions may leave Python execution when running Python independent code: long-running computations or blocking operations. When an extension has left the Python execution it must not call any HPy API other than HPy\_ReenterPythonExecution. It can access pointers returned by HPy API, e.g., HPyUnicode\_AsUTF8String, provided that they are valid at the point of calling HPy\_LeavePythonExecution.

Python execution must be reentered on the same thread as where it was left. The leave/enter calls must not be nested. Debug mode will, in the future, enforce these constraints.

Python implementations may use this knowledge however they wish. The most obvious use case is to release the GIL, in which case the HPy\_BEGIN\_LEAVE\_PYTHON/HPy\_END\_LEAVE\_PYTHON becomes equivalent to Py\_BEGIN\_ALLOW\_THREADS/Py\_END\_ALLOW\_THREADS.

## 6.4 Argument Parsing

Implementation of HPyArg\_Parse and HPyArg\_ParseKeywords.

Note: those functions are runtime helper functions, i.e., they are not part of the HPy context, but are available to HPy extensions to incorporate at compile time.

HPyArg\_Parse parses positional arguments and replaces PyArg\_ParseTuple. HPyArg\_ParseKeywords parses positional and keyword arguments and replaces PyArg\_ParseTupleAndKeywords.

HPy intends to only support the simpler format string types (numbers, bools) and handles. More complex types (e.g. buffers) should be retrieved as handles and then processed further as needed.

## 6.4.1 Supported Formatting Strings

#### **Numbers**

- **b** (int) [unsigned char] Convert a nonnegative Python integer to an unsigned tiny int, stored in a C unsigned char.
- **B** (int) [unsigned char] Convert a Python integer to a tiny int without overflow checking, stored in a C unsigned char.
- h (int) [short int] Convert a Python integer to a C short int.
- H (int) [unsigned short int] Convert a Python integer to a C unsigned short int, without overflow checking.
- i (int) [int] Convert a Python integer to a plain C int.
- I (int) [unsigned int] Convert a Python integer to a C unsigned int, without overflow checking.
- 1 (int) [long int] Convert a Python integer to a C long int.
- **k** (int) [unsigned long] Convert a Python integer to a C unsigned long without overflow checking.
- L (int) [long long] Convert a Python integer to a C long long.
- **K** (int) [unsigned long long] Convert a Python integer to a C unsigned long long without overflow checking.
- n (int) [HPy\_ssize\_t] Convert a Python integer to a C HPy\_ssize\_t.
- f (float) [float] Convert a Python floating point number to a C float.
- d (float) [double] Convert a Python floating point number to a C double.

### Strings and buffers

These formats allow accessing an object as a contiguous chunk of memory. You don't have to provide raw storage for the returned unicode or bytes area.

In general, when a format sets a pointer to a buffer, the pointer is valid only until the corresponding HPy handle is closed.

```
s (unicode) [const char*]
```

Convert a Unicode object to a C pointer to a character string. A pointer to an existing string is stored in the character pointer variable whose address you pass. The C string is NUL-terminated. The Python string must not contain embedded null code points; if it does, a *ValueError* exception is raised. Unicode objects are converted to C strings using 'utf-8' encoding. If this conversion fails, a *UnicodeError* is raised.

Note: This format does not accept bytes-like objects and is therefore not suitable for filesystem paths.

#### **Handles (Python Objects)**

O (object) [HPy] Store a handle pointing to a generic Python object.

When using O with HPyArg\_ParseKeywords, an HPyTracker is created and returned via the parameter *ht*. If HPyArg\_ParseKeywords returns successfully, you must call HPyTracker\_Close on *ht* once the returned handles are no longer needed. This will close all the handles created during argument parsing. There is no need to call *HPyTracker\_Close* on failure – the argument parser does this for you.

#### **Miscellaneous**

p (bool) [int] Tests the value passed in for truth (a boolean predicate) and converts the result to its equivalent
 C true/false integer value. Sets the int to 1 if the expression was true and 0 if it was false. This accepts any valid
 Python value. See Truth Value Testing for more information about how Python tests values for truth.

## **Options**

- Indicates that the remaining arguments in the argument list are optional. The C variables corresponding to optional arguments should be initialized to their default value when an optional argument is not specified, the contents of the corresponding C variable is not modified.
- \$ HPyArg\_ParseKeywords() only: Indicates that the remaining arguments in the argument list are keyword-only. Currently, all keyword-only arguments must also be optional arguments, so | must always be specified before \$ in the format string.
- : The list of format units ends here; the string after the colon is used as the function name in error messages. : and ; are mutually exclusive and whichever occurs first takes precedence.
- ; The list of format units ends here; the string after the semicolon is used as the error message instead of the default error message. : and ; are mutually exclusive and whichever occurs first takes precedence.

## 6.4.2 Argument Parsing API

int HPyArg\_Parse (HPyContext \*ctx, HPyTracker \*ht, HPy \*args, HPy\_ssize\_t nargs, const char \*fmt, ...)

Parse positional arguments.

#### **Parameters**

- ctx The execution context.
- ht An optional pointer to an HPyTracker. If the format string never results in new handles being created, *ht* may be *NULL*. Currently no formatting options to this function require an HPyTracker.
- args The array of positional arguments to parse.
- nargs The number of elements in args.
- fmt The format string to use to parse the arguments.
- ... A va\_list of references to variables in which to store the parsed arguments. The number and types of the arguments should match the format strint, *fmt*.

**Returns** 0 on failure, 1 on success.

If a NULL pointer is passed to ht and an HPyTracker is required by the format string, an exception will be raised.

If a pointer is provided to *ht*, the *HPyTracker* will always be created and must be closed with *HPyTracker\_Close* if parsing succeeds (after all handles returned are no longer needed). If parsing fails, this function will close the *HPyTracker* automatically.

#### Examples:

Using HPyArg\_Parse without an HPyTracker:

```
long a, b;
if (!HPyArg_Parse(ctx, NULL, args, nargs, "ll", &a, &b))
   return HPy_NULL;
...
```

Using *HPyArg\_Parse* with an *HPyTracker*:

```
long a, b;
HPyTracker ht;
if (!HPyArg_Parse(ctx, &ht, args, nargs, "ll", &a, &b))
    return HPy_NULL;
...
HPyTracker_Close(ctx, ht);
...
```

**Note:** Currently *HPyArg\_Parse* never requires the use of an *HPyTracker*. The option exists only to support releasing temporary storage used by future format string codes (e.g. for character strings).

```
int HPyArg_ParseKeywords (HPyContext *ctx, HPyTracker *ht, HPy *args, HPy_ssize_t nargs, HPy kw, const char *fmt, const char *keywords[], ...)
```

Parse positional and keyword arguments.

#### **Parameters**

• ctx – The execution context.

- ht An optional pointer to an HPyTracker. If the format string never results in new handles being created, ht may be NULL. Currently only the O formatting option to this function requires an HPyTracker.
- args The array of positional arguments to parse.
- nargs The number of elements in args.
- **kw** A handle to the dictionary of keyword arguments.
- fmt The format string to use to parse the arguments.
- **keywords** An *NULL* terminated array of argument names. The number of names should match the format string provided. Positional only arguments should have the name "" (i.e. the null-terminated empty string). Positional only arguments must preceded all other arguments.
- ... A va\_list of references to variables in which to store the parsed arguments. The number and types of the arguments should match the format strint, fmt.

Returns 0 on failure, 1 on success.

If a NULL pointer is passed to ht and an HPyTracker is required by the format string, an exception will be raised.

If a pointer is provided to *ht*, the *HPyTracker* will always be created and must be closed with *HPyTracker\_Close* if parsing succeeds (after all handles returned are no longer needed). If parsing fails, this function will close the *HPyTracker* automatically.

Examples:

Using HPyArg\_ParseKeywords without an HPyTracker:

```
long a, b;
if (!HPyArg_ParseKeywords(ctx, NULL, args, nargs, kw, "ll", &a, &b))
   return HPy_NULL;
...
```

Using HPyArg ParseKeywords with an HPyTracker:

```
HPy a, b;
HPyTracker ht;
if (!HPyArg_ParseKeywords(ctx, &ht, args, nargs, kw, "00", &a, &b))
    return HPy_NULL;
...
HPyTracker_Close(ctx, ht);
...
```

**Note:** Currently *HPyArg\_ParseKeywords* only requires the use of an *HPyTracker* when the *O* format is used. In future other new format string codes (e.g. for character strings) may also require it.

## 6.5 Building complex Python objects

Implementation of HPy\_BuildValue.

Note: HPy\_BuildValue is a runtime helper functions, i.e., it is not a part of the HPy context, but is available to HPy extensions to incorporate at compile time.

HPy\_BuildValue creates a new value based on a format string from the values passed in variadic arguments. Returns HPy\_NULL in case of an error and raises an exception.

HPy\_BuildValue does not always build a tuple. It builds a tuple only if its format string contains two or more format units. If the format string is empty, it returns None; if it contains exactly one format unit, it returns whatever object is described by that format unit. To force it to return a tuple of size 0 or one, parenthesize the format string.

Building complex values with HPy\_BuildValue is more convenient than the equivalent code that uses more granular APIs with proper error handling and cleanup. Moreover, HPy\_BuildValue provides straightforward way to port existing code that uses Py\_BuildValue.

HPy\_BuildValue always returns a new handle that will be owned by the caller. Even an artificial example 'HPy\_BuildValue(ctx, "O", h)' does not simply forward the value stored in 'h' but duplicates the handle.

## 6.5.1 Supported Formatting Strings

#### **Numbers**

- i (int) [int] Convert a plain C int to a Python integer object.
- 1 (int) [long int] Convert a C long int to a Python integer object.
- I (int) [unsigned int] Convert a C unsigned int to a Python integer object.
- k (int) [unsigned long] Convert a C unsigned long to a Python integer object.
- L (int) [long long] Convert a C long long to a Python integer object.
- K (int) [unsigned long long] Convert a C unsigned long long to a Python integer object.
- **f** (**float**) [**float**] Convert a C float to a Python floating point number.
- d (float) [double] Convert a C double to a Python floating point number.

### **Collections**

- (items) (tuple) [matching-items] Convert a sequence of C values to a Python tuple with the same number of items.
- [items] (list) [matching-items] Convert a sequence of C values to a Python list with the same number of items.
- **(key:value)** (dict) [matching-items] Convert a sequence of C values to a Python dict with the same number of items.

#### **Misc**

O (Python object) [HPy] Pass an untouched Python object represented by the handle.

If the object passed in is a HPy\_NULL, it is assumed that this was caused because the call producing the argument found an error and set an exception. Therefore, HPy\_BuildValue will also immediately stop and return HPy\_NULL but will not raise any new exception. If no exception has been raised yet, SystemError is set.

Any HPy handle passed to HPy\_BuildValue is always owned by the caller. HPy\_BuildValue never closes the handle nor transfers its ownership. If the handle is used, then HPy\_BuildValue creates a duplicate of the handle.

S (Python object) [HPy] Alias for 'O'.

### 6.5.2 API

## 6.6 Runtime Helpers

Runtime helper functions.

These are not part of the HPy context or ABI, but are available for HPy extensions to incorporate at compile time.

## 6.6.1 Runtime Helpers API

```
int HPyHelpers_AddType (HPyContext *ctx, HPy obj, const char *name, HPyType_Spec *hpyspec, HPyType_SpecParam *params)
```

Create a type and add it as an attribute on the given object. The type is created using *HPyType\_FromSpec*. The object is often a module that the type is being added to.

### **Parameters**

- ctx The execution context.
- obj A handle to the object the type is being added to (often a module).
- name The name of the attribute on the object to assign the type to.
- **hpyspec** The type spec to use to create the type.
- params The type spec parameters to use to create the type.

Returns 0 on failure, 1 on success.

Examples:

Using HPyHelpers\_AddType without any HPyType\_SpecParam parameters:

```
if (!HPyHelpers_AddType(ctx, module, "MyType", hpyspec, NULL))
    return HPy_NULL;
...
```

Using HPyHelpers\_AddType with HPyType\_SpecParam parameters:

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return HPy\_NULL;

**CHAPTER** 

SEVEN

## **MISC NOTES**

## 7.1 bytes/str building API

We need to design an HPy API to build bytes and str objects. Before making any proposal, it is useful to understand:

- 1. What is the current API to build strings.
- 2. What are the constraints for alternative implementations and the problems of the current C API.
- 3. What are the patterns used to build string objects in the existing extensions.

Some terminology:

- "string" means both bytes and str objects
- "unicode" or "unicode string" indicates str

**Note:** In this document we are translating PyUnicode\_\* functions into HPyStr\_\*. See issue #213 for more discussion about the naming convention.

**Note:** The goal of the document is only to describe the current CPython API and its real-world usage. For a discussion about how to design the equivalent HPy API, see issue #214

## 7.1.1 Current CPython API

## **Bytes**

There are essentially two ways to build bytes:

1. Copy the content from an existing C buffer:

```
PyObject* PyBytes_FromString(const char *v);
PyObject* PyBytes_FromStringAndSize(const char *v, Py_ssize_t len);
PyObject* PyBytes_FromFormat(const char *format, ...);
```

2. Create an uninitialized buffer and fill it manually:

```
PyObject s = PyBytes_FromStringAndSize(NULL, size);
char *buf = PyBytes_AS_STRING(s);
strcpy(buf, "hello");
```

(1) is easy for alternative implementations and we can probably provide an HPy equivalent without changing much, so we will concentrate on (2): let's call it "raw-buffer API".

#### Unicode

Similarly to bytes, there are several ways to build a str:

```
PyObject* PyUnicode_FromString(const char *u);
PyObject* PyUnicode_FromStringAndSize(const char *u, Py_ssize_t size);
PyObject* PyUnicode_FromKindAndData(int kind, const void *buffer, Py_ssize_t size);
PyObject* PyUnicode_FromFormat(const char *format, ...);
PyObject* PyUnicode_New(Py_ssize_t size, Py_UCS4 maxchar);
```

```
Note: PyUnicode_FromString{, AndSize} take an UTF-8 string in input
```

The following functions are used to initialize an uninitialized object, but I could not find any usage of them outside CPython itself, so I think they can be safely ignored for now:

```
Py_ssize_t PyUnicode_Fill(PyObject *unicode, Py_ssize_t start, Py_ssize_t length, Py_
→UCS4 fill_char);
Py_ssize_t PyUnicode_CopyCharacters(PyObject *to, Py_ssize_t to_start, PyObject *from,
→ Py_ssize_t from_start, Py_ssize_t how_many);
```

There are also a bunch of API functions which have been deprecated (see PEP 623 and PEP 624) so we will not take them into account. The deprecated functions include but are not limited to:

```
PyUnicode_FromUnicode
PyUnicode_FromStringAndSize(NULL,...) // use PyUnicode_New instead
PyUnicode_AS_UNICODE
PyUnicode_AS_DATA
PyUnicode_READY
```

Moreover, CPython 3.3+ adopted a flexible string representation (PEP 393) which means that the underlying buffer of str objects can be an array of 1-byte, 2-bytes or 4-bytes characters (the so called "kind").

str objects offer a raw-buffer API, but you need to call the appropriate function depending on the kind, returning buffers of different types:

```
typedef uint32_t Py_UCS4;
typedef uint16_t Py_UCS2;
typedef uint8_t Py_UCS1;
Py_UCS1* PyUnicode_1BYTE_DATA(PyObject *o);
Py_UCS2* PyUnicode_2BYTE_DATA(PyObject *o);
Py_UCS4* PyUnicode_4BYTE_DATA(PyObject *o);
```

Uninitialized unicode objects are created by calling PyUnicode\_New (size, maxchar), where maxchar is the maximum allowed value of a character inside the string, and determines the kind. So, in cases in which maxchar is known in advance, we can predict at compile time what will be the kind of the string and write code accordingly. E.g.:

```
// ASCII only --> kind == PyUnicode_1BYTE_KIND
PyObject *s = PyUnicode_New(size, 127);
Py_UCS1 *buf = PyUnicode_1BYTE_DATA(s);
strcpy(buf, "hello");
```

Note: CPython distinguishes between PyUnicode\_New(size, 127) and PyUnicode\_New(size, 255): in both cases the kind is PyUnicode\_1BYTE\_KIND, but the former also sets a flag to indicate that the string is ASCII-only.

There are cases in which you don't know the kind in advance because you are working on generic data. To solve the problem in addition to the raw-buffer API, CPython also offers an "Opaque API" to write a char inside an unicode:

```
int PyUnicode_WriteChar(PyObject *unicode, Py_ssize_t index, Py_UCS4 character)
void PyUnicode_WRITE(int kind, void *data, Py_ssize_t index, Py_UCS4 value)
```

Note that the character to write is always Py\_UCS4, so \_WriteChar/\_WRITE have logic to do something different depending on the kind.

**Note:** \_WRITE is a macro, and its implementation contains a switch (kind): I think it is designed with the explicit goal of allowing the compiler to hoist the switch outside a loop in which we repeatedly call \_WRITE. However, it is worth noting that I could not find any code using it outside CPython itself, so it's probably something which we don't need to care of for HPy.

## 7.1.2 Raw-buffer vs Opaque API

There are two ways to initialize a non-initialized string object:

- Raw-buffer API: get a C pointer to the memory and fill it directly: PyBytes\_AsString, PyUnicode\_1BYTE\_DATA, etc.
- Opaque API: call special functions API to fill the content, without accessing the buffer directly: e.g., PyUnicode WriteChar.

From the point of view of the implementation, a completely opaque API gives the most flexibility in terms of how to implement a builder and/or a string. A good example is PyPy's str type, which uses UTF-8 as the internal representation. A completely opaque HPyStrBuilder could allow PyPy to fill directly its internal UTF-8 buffer (at least in simple cases). On the other hand, a raw-buffer API would force PyPy to store the UCS{1,2,4} bytes in a temporary buffer and convert them to UTF-8 during the build() phase.

On the other hand, from the point of view of the C programmer it is easier to have direct access the memory. This allows to:

- use memcpy () to copy data into the buffer
- pass the buffer directly to other C functions which write into it (e.g., read ())
- use standard C patterns such as \*p++=... or similar.

#### 7.1.3 Problems and constraints

bytes and str are objects are immutable: the biggest problem of the current API boils down to the fact that the API allows to construct objects which are not fully initialized and to mutate them during a not-well-specificed "initialization phase".

Problems for alternative implementations:

- 1. it assumes that the underlying buffer **can** be mutated. This might not be always the case, e.g. if you want to use a Java string or an RPython string as the data buffer. This might also lead to unnecessary copies.
- 2. It makes harder to optimize the code: e.g. a JIT cannot safely assume that a string is actually immutable.

3. It interacts badly with a moving GC, because we need to ensure that buf doesn't move.

Introducing a builder solves most of the problems, because it introduces a clear separation between the mutable and immutable phases.

## 7.1.4 Real world usage

In this section we analyze the usage of some string building API in real world code, as found in the Top 4000 PyPI packages.

### **PyUnicode New**

This is the recommended "modern" way to create str objects but it's not widely used outside CPython. A simple grep found only 17 matches in the 4000 packages, although some are in very important packages such as cffi, markupsafe (1, 2, 3) and simple json (1, 2).

In all the examples linked above, maxchar is hard-coded and known at compile time.

There are only four usages of PyUnicode\_New in which maxchar is actually unknown until runtime, and it is curious to note that the first three are in runtime libraries used by code generators:

- 1. mypyc
- 2. Cython
- 3. siplib
- 4. PyICU: this is the only non-runtime library usage of it, and it's used to implement a routine to create a str object from an UTF-16 buffer.

For HPy, we should at lest consider the opportunity to design special APIs for the cases in which maxchar is known in advance, e.g. HPyStrBuilder\_ASCII, HPyStrBuilder\_UCS1, etc., and evaluate whether this would be beneficial for alternative implementations.

#### **Create empty strings**

A special case is PyUnicode\_New(0, 0), which contructs an empty str object. CPython special-cases it to always return a prebuilt object.

This pattern is used a lot inside CPython but only once in 3rd-party extensions, in the regex library (1, 2).

Other ways to build empty strings are PyUnicode\_FromString("") which is used 27 times and PyUnicode\_FromStringAndSize("", 0) which is used only once.

For HPy, maybe we should just have a ctx->h\_EmptyStr and ctx->h\_EmptyBytes?

### PyUnicode\_From\*, PyUnicode\_Decode\*

Functions of the PyUnicode\_From\* and PyUnicode\_Decode\* families should be easy to adapt to HPy, so we won't discuss them in detail. However, here is the of matches found by grep for each function, to get an idea of how much each is used:

PyUnicode\_From\* family:

```
Documented:

964 PyUnicode_FromString
259 PyUnicode_FromFormat
125 PyUnicode_FromStringAndSize
58 PyUnicode_FromWideChar
48 PyUnicode_FromEncodedObject
17 PyUnicode_FromKindAndData
9 PyUnicode_FromFormatV

Undocumented:
7 PyUnicode_FromOrdinal

Deprecated:
66 PyUnicode_FromObject
45 PyUnicode_FromUnicode
```

### PyUnicode\_Decode\* family:

```
143 PyUnicode_DecodeFSDefault
114 PyUnicode_DecodeUTF8
99 PyUnicode_Decode
64 PyUnicode_DecodeLatin1
51 PyUnicode_DecodeASCII
12 PyUnicode_DecodeFSDefaultAndSize
10 PyUnicode_DecodeUTF16
8 PyUnicode_DecodeLocale
6 PyUnicode_DecodeRawUnicodeEscape
3 PyUnicode_DecodeUTF8Stateful
2 PyUnicode_DecodeUTF32
2 PyUnicode_DecodeUnicodeEscape
```

#### **Raw-buffer access**

Most of the real world packages use the raw-buffer API to initialize str objects, and very often in a way which can't be easily replaced by a fully opaque API.

Example 1, markupsafe: the DO\_ESCAPE macro takes a parameter called outp which is obtained by calling PyUnicode\*BYTE\_DATA (1BYTE, (2BYTE, (4BYTE). DO\_ESCAPE contains code like this, which would be hard to port to a fully-opaque API:

```
memcpy(outp, inp-ncopy, sizeof(*outp)*ncopy); \
outp += ncopy; ncopy = 0; \
*outp++ = '&'; \
*outp++ = '#'; \
*outp++ = '3'; \
*outp++ = '4'; \
*outp++ = 'index '; \
*outp
```

Another interesting example is pybase64. After removing the unnecessary stuff, the logic boils down to this:

```
out_len = (size_t)(((buffer.len + 2) / 3) * 4);
out_object = PyUnicode_New((Py_ssize_t)out_len, 127);
dst = (char*)PyUnicode_1BYTE_DATA(out_object);
...
base64_encode(buffer.buf, buffer.len, dst, &out_len, libbase64_simd_flag);
```

Note that base64\_encode is an external C function which writes stuff into a char \* buffer, so in this case it is required to use the raw-buffer API, unless you want to allocate a temporary buffer and copy chars one-by-one later.

There are other examples similar to these, but I think there is already enough evidence that HPy **must** offer a raw-buffer API in addition to a fully-opaque one.

## Typed vs untyped raw-buffer writing

To initialize a str object using the raw-buffer interface, you need to get a pointer to the buffer. The vast majority of code uses PyUnicode\_ $\{1, 2, 4\}$ BYTE\_DATA to get a buffer of type Py\_UCS $\{1, 2, 4\}$ \* and write directly to it:

```
PyObject *s = PyUnicode_New(size, 127);
Py_UCS1 *buf = PyUnicode_1BYTE_DATA(s);
buf[0] = 'H';
buf[1] = 'e';
buf[2] = 'l';
...
```

The other way to get a pointer to the raw-buffer is to call PyUnicode\_DATA(), which returns a void \*: the only reasonable way to write something in this buffer is to memcpy() the data from another str buffer of the same kind. This technique is used for example by CPython's textio.c.

Outside CPython, the only usage of this technique is inside cython's helper function \_\_Pyx\_PyUnicode\_Join.

This probably means that we don't need to offer untyped raw-buffer writing for HPy. If we really need to support the memory use case, we can probably just offer a special function in the builder API.

## PyUnicode\_WRITE, PyUnicode\_WriteChar

Outside CPython, PyUnicode\_WRITE() is used only inside Cython's helper functions (1, 2). Considering that Cython will need special support for HPy anyway, this means that we don't need an equivalent of PyUnicode\_WRITE for HPy.

Similarly, PyUnicode WriteChar() is used only once, inside JPype.

### PyUnicode Join

All the API functions listed above require the user to know in advance the size of the string: PyUnicode\_Join() is the only native API call which allows to build a string whose size is not known in advance.

Examples of usage are found in simplejson (1, 2), pycairo, regex (1, 2, 3, 4, 5, 6) and others, for a total of 25 grep matches.

**Note:** Contrarily to its unicode equivalent, PyBytes\_Join() does not exist. There is \_PyBytes\_Join() which is private and undocumented, but some extensions rely on it anyway: Cython, regex, dulwich.

In theory, alternative implementaions should be able to provide a more efficient way to achieve the goal. E.g. for pure Python code PyPy offers \_\_pypy\_\_.builders.StringBuilder which is faster than both StringIO and ''.join, so maybe it might make sense to offer a way to use it from C.

## **EIGHT**

## **CHANGELOG**

## 8.1 Version 0.0.4 (May 25th, 2022)

#### New Features/API:

- HPy headers are C++ compliant
- Python 3.10 support
- HPyField: References to Python objects that can be stored in raw native memory owned by Python objects.
  - New API functions: HPyField\_Load, HPyField\_Store
- HPyGlobal: References to Python objects that can be stored into a C global variable.
  - New API functions: HPyGlobal\_Load, HPyGlobal\_Store
  - Note: HPyGlobal does not allow to share Python objects between (sub)interpreters
- GIL support New API functions: HPy\_ReenterPythonExecution, HPy\_LeavePythonExecution
- Value building support (HPy\_BuildValue)
- New type slots
  - HPy\_mp\_ass\_subscript, HPy\_mp\_length, HPy\_mp\_subscript
  - HPy\_tp\_finalize
- Other new API functions
  - HPyErr\_SetFromErrnoWithFilename, HPyErr\_SetFromErrnoWithFilenameObjects
  - HPyErr\_ExceptionMatches
  - HPyErr\_WarnEx
  - HPyErr\_WriteUnraisable
  - HPy\_Contains
  - HPyLong\_AsVoidPtr
  - HPyLong\_AsDouble
  - HPyUnicode\_AsASCIIString, HPyUnicode\_DecodeASCII
  - HPyUnicode\_AsLatin1String, HPyUnicode\_DecodeLatin1
  - HPyUnicode\_DecodeFSDefault, HPyUnicode\_DecodeFSDefaultAndSize
  - HPyUnicode\_ReadChar

### Debug mode:

- Support activation of debug mode via environment variable HPY\_DEBUG
- Support capturing stack traces of handle allocations
- Check for invalid use of raw data pointers (e.g HPyUnicode\_AsUTF8AndSize) after handle was closed.
- Detect invalid handles returned from extension functions
- Detect incorrect closing of handles passed as arguments

#### Misc Changes:

- Removed unnecessary prefix "m\_" from fields of HPyModuleDef (incompatible change)
- For HPy implementors: new pytest mark for HPy tests assuming synchronous GC

## 8.2 Version 0.0.3 (September 22nd, 2021)

This release adds various new API functions (see below) and extends the debug mode with the ability to track closed handles. The default ABI mode now is 'universal' for non-CPython implementations. Also, the type definition of *HPyContext* was changed and it's no longer a pointer type. The name of the HPy dev package was changed to 'hpy' (formerly: 'hpy.devel'). Macro HPy\_CAST was replaced by HPy\_AsStruct.

#### New features:

- Added helper HPyHelpers\_AddType for creating new types
- Support format specifier 's' in HPyArg\_Parse
- Added API functions: HPy\_Is, HPy\_AsStructLegacy (for legacy types), HPyBytes\_FromStringAndSize, HPyErr\_NewException, HPyErr\_NewExceptionWithDoc, HPyUnicode\_AsUTF8AndSize, HPyUnicode\_DecodeFSDefault, HPyImport\_ImportModule
- Debug mode: Implemented tracking of closed handles
- Debug mode: Add hook for invalid handle access

#### Bug fixes:

- Distinguish between pure and legacy types
- · Fix Sphinx doc errors

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